

10-11-01

Receipt

Patent
Attorney Docket: 265/263

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John R. Havens, et al.

Serial No.: 09/922,349

Filed: August 3, 2001

For: PERMEATION LAYER
ATTACHMENT CHEMISTRY AND
METHOD

Group Art Unit: 1631

Examiner: Not Assigned

RECEIVED
OCT 19 2001
TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Attention: OIPE Customer Service Center
Washington, D.C. 20231

BEST AVAILABLE COPY

Sir:

Applicants herein respectfully request a corrected filing receipt for the subject patent application. Said filing receipt (copy enclosed) indicates two errors in the APPLICANT(S) section, of which Applicants respectfully request correction as follows:

Please replace "Havens R. John" with - -John R. Havens- -.

Please replace "Smolko Dan" with - -Dan Smolko- -.

The requested changes have been noted in red on the enclosed copy.

OC-94087.1

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that I have reasonable cause to expect that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as "Express Mail - Post Office to Addressee" in an envelope addressed to the Commissioner for Patents, Washington, DC 20231.

Express Mail Label No.: EL622500680US
Date of Deposit: October 9, 2001

Micheal A. Smith

BEST AVAILABLE COPY

Patent
Attorney Docket: 265/263

Applicants do not believe that any fees are due in connection with this request. However, if the Commissioner deems otherwise, authorization to charge Deposit Account No. 12-2475 is granted. We respectfully request the issuance of a corrected filing receipt.

Respectfully submitted,

LYON & LYON LLP

Dated: October 9, 2001

By: 

Patrick S. Eagleman
Reg. No. 44,665

633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
(213) 489-1600



UNITED STATES PATENT AND TRADEMARK OFFICE

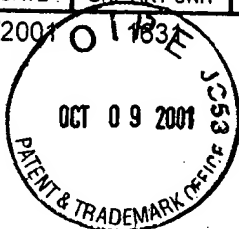
OCT 19 2001

TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/922,349	08/03/2001	01834	391	265/263	10	24	1

22249
LYON & LYON LLP
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES, CA 90071



CONFIRMATION NO. 5484

FILING RECEIPT



OC000000006456334

Date Mailed: 08/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) John Havens
~~Havens R. John~~, San Diego, CA;
Theodore M. Winger, San Diego, CA;
Jain Krotz, San Diego, CA;
Don Smolko ~~Smolko Don~~, Jamul, CA;
Thomas J. Onofrey, San Marcos, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/464,670 12/15/1999

Foreign Applications

If Required, Foreign Filing License Granted 08/21/2001

Projected Publication Date: 11/29/2001

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Permeation layer attachment chemistry and method

RECEIVED
AUG 27 2001
U.S. PROSECUTION

BEST AVAILABLE COPY

Preliminary Class

422

Data entry by : INSIXIENGMAI, LYANA

Team : OIPE

Date: 08/22/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231